

IPW



Customer No. 27123

Docket No. 1232-5324

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Yoshinori OHSAKI

Group Art Unit: 2851

Serial No.: 10/797,218

Examiner: Nguyen, Hung

Filed: March 9, 2004

Confirmation No. 4188

For: EXPOSURE METHOD

**CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

1. Information Disclosure Statement (2 pp.);
2. Form PTO-1449 (1 p.),
3. copies of five (5) references cited therein,
4. Return receipt postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: November 29, 2004

By: Pamela Stone  
Pamela Stone

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**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R.

§§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☒ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:

For Japanese Patent Application Laid Open Nos. JP 10-116781 A, JP 7-86135 A and JP 5-280929 A, listed as Items A, B and D, respectively, English Abstracts are provided. For Japanese Patent Application Laid Open No. JP 6-283403 A, listed as Item C, corresponding US Patent No. 5,448,332, listed as Item 1, and an English Abstract, are provided.

2. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.

3. ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.
4. ☒ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
- ☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or
  - ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
  - ☒ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or
  - ☐ 37 C.F.R. §1.97(b)(4), before the mailing date of a first office action after the filing of an RCE under §1.114.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500., Order No. 1232-5324. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: November 29, 2004

By: \_\_\_\_\_

Joseph A. Calvaruso  
Registration No. 28,287

Correspondence Address:

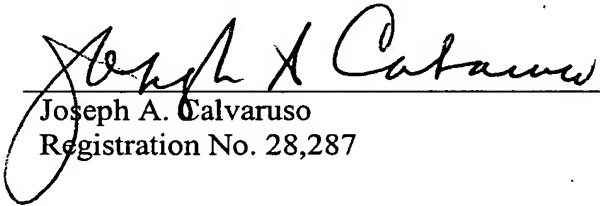
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  - ☒ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or
  - ☐ 37 C.F.R. §1.97(b)(4), before the mailing date of a first office action after the filing of an RCE under §1.114.
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MORGAN & FINNEGAN, L.L.P.

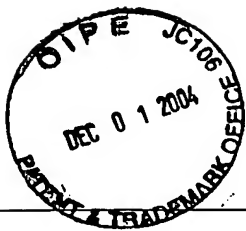
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<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION</b>	Attorney Docket: 1232-5324	Serial No.: 10/797,218
	Applicant: Yoshinori OHSAKI	
	Filing Date March 9, 2004	Group Art Unit: 2851

**U.S. PATENT DOCUMENTS**

Examiner Initial	Item	Patent or Publication Number	Publication Date	Name	Class	Sub-Class	Filing Date
	1	5,448,332	09/05/1995	Sakakibara et al.			11/21/1994

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Item	Patent Number	Publication Date	Country	Class	Sub-Class	Translation
	A	10-116781 A	05/06/1998	JP (w/ English Abstract)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	B	7-86135	03/31/1995	JP (w/ English Abstract)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	C	6-283403	10/07/1994	JP (w/ English Abstract)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	D	5-280929	10/29/1993	JP (w/ English Abstract)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Examiner Initial	Item	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers,

Examiner	Date Considered
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	